

# Curriculum Vitae

*Carlos Henrique Cabral Duarte*

May 7, 2022

## 1 - Identification

1. Name: Carlos Henrique Cabral Duarte
2. Date of birth: 01/July/1970
3. City of birth: Juiz de Fora, MG, Brazil
4. Nationality: Brazilian citizen

## 2 - Personal Data

1. Affiliation: Dione Duarte†  
Aracy Cabral Duarte
2. Marital status: Married
3. Profession: Systems Analyst, University Professor
4. Residential address: Rua São João Batista 27/903, Botafogo  
Rio de Janeiro, RJ, Brazil, 22270-030
5. Commercial address: Av. República do Chile 100, Centro  
Rio de Janeiro, RJ, Brazil, 20031-917
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7. E-mail: [carlos.duarte@computer.org](mailto:carlos.duarte@computer.org); [cduarte@bndes.gov.br](mailto:cduarte@bndes.gov.br)
8. Web page: <http://www.chcduarte.com>; <http://www.bndes.gov.br>
9. ORCID iD: <https://orcid.org/0000-0002-9881-1527>

## 3 - Education

1. Postgraduate studies (1994-99):  
Department of Computing, Imperial College, London, UK  
Degree: Ph.D. in Computer Science  
Adviser: Prof. Dr. Thomas Stephen Edward Maibaum
2. Postgraduate studies (1992-94):  
Department of Informatics  
Pontifical Catholic University of Rio de Janeiro (PUC-Rio), RJ, Brazil  
Degree: MSc in Informatics  
Adviser: Prof. Dr. Roberto Ierusalimschy  
Final G.P.A.: 9.7
3. Undergraduate studies (1988-92):  
Department of Mathematics  
Federal University of Juiz de Fora (UFJF), MG, Brazil  
Degree: BMath with a major in Informatics  
Adviser: Prof. Michel Heluey Fortuna  
Final G.P.A.: 7.7

4. Primary and secondary school (1974-87):  
Colégio dos Jesuítas, Juiz de Fora, MG, Brazil

## 4 - Languages

1. *Portuguese*: My native language.
2. *English*: Skillful reading and writing, but a bit deficient in conversation (C2).
3. *Spanish and German*: Comprehension of written and spoken language (A2).
4. *French*: Basic comprehension of written and spoken language (A1).

## 5 - Professional Experience

### 5.1 - R&D and Financing

1. *Temporary Member of Technical Staff (2020-2024)*<sup>1</sup>  
Brazilian Institute of Geography and Statistics – IBGE  
Address: Av. República do Chile, 500, Centro  
Rio de Janeiro, RJ, Brazil, 20031-170  
Weekly journey: 35 hours

#### Professional History:

- Directorate of Research – DPE  
Period: 2020-  
Role: Strategic Advisement Staff

2. *Member of Technical Staff (since 1992, senior since 2017)*<sup>2</sup>  
Brazilian National Economic and Social Development Bank – BNDES  
Address: Av. República do Chile, 100, Centro  
Rio de Janeiro, RJ, Brazil, 20001-970  
Weekly journey: 35 hours

#### Professional History:

- Foreign Trade Division – AEX  
Department of Capital Good and Consumable Product Export Promotion – DECEX3  
Period: 2018-2020  
Role: Foreign Trade Financing Analyst
- Indirect Operations Division – AOI / Strategic Planning Division – AP  
Department of Local Content and Accreditation – DECRED  
Period: 2012-2018  
Role: Accreditation Analyst
- National Information Technology Committee – CATI  
Period: 2003-2012  
Role: Board Member (representative of BNDES)

<sup>1</sup>On leave of absence from BNDES, in a temporary work assignment.

<sup>2</sup>Contract interrupted between 10/1994 and 09/1998.

- Society for the Promotion of Brazilian Software Excellence – Softex Society  
Period: 2003-2012  
Role: Deputy Board Member (representative of BNDES)
- Working Group to Plan the Attraction of Investments in the Local Integrated Circuit Industry  
Period: 2003-2012  
Role: Member (as a BNDES employer)
- Industry Division – AI  
Information Technology Department – DETIC  
Period: 2003-2012  
Role: Operations Manager and Deputy Head of Department
- Telecommunications and Logistics Division – ALT  
Electronics Complex Department – GECEL  
Period: 2002  
Role: Accounts Manager
- Operations Division 1 – AO1  
Department of Software Operations – GSOFTE  
Period: 1998-2001  
Role: Financial Analyst
- Administration and Human Resources Division – AA  
Department of Systems – DESIS  
Period: 1993-1994  
Role: Systems Analyst

**Description:**

From January 1993 to August 1994, I worked as a systems analyst at BNDES. My duties were to develop new functionalities for the credit operations system of BNDES and a new software to support the supervision of financed projects by technical staff members. An IBM 9021 was used in these activities, and software components were implemented using the NATURAL 2 language for the ADABAS DBMS.

Afterward, I became involved in training initial users of the latter system. I attempted to downsize parts of that system to an operational environment consisting of Novell/Netware networks and software components developed using Fox Pro. This new system architecture took into account the adoption of an ODBC platform by BNDES.

In these activities, I contributed to the standardization of software development activities at the bank, particularly the production of user interfaces and database systems. We put our standards in practice so many times that we ended up defining a new software development approach based on software component reuse and rapid prototyping, which had been used for more than a decade.

After returning to BNDES in 1998 from my period on leave of absence, I worked first as a member of technical staff (from 1998 to 2001) and afterward as a financing manager (from 2002 to 2012), eventually also as deputy head of department. My duties were to visit information and communication technology companies, foster the production of business plans, to assess their feasibility and, when appropriate, to recommend these plans for financing by the bank.

My main achievement in these roles, together with other colleagues, was to propose, implement and obtain profits from new financing instruments mainly devoted to supporting the Brazilian software industry. As a direct result of my activities, more than fifty software companies received funding to implement their business plans, most of which through credit. Still, some of them also used venture capital instruments. In this period, I was a key person in charge of supporting the growth of two formerly medium-size enterprise resource planning software companies until they were listed in the Bovespa Stock Market (consequently having participated in the planning and structuring of each IPO). As a secondary achievement, I also performed a similar role in financing non-profit R&D organizations of the Information and Communication Technology sector.

After being relieved from the manager role in 2012, I was an accreditation analyst and later on an exports financing analyst of accredited machinery, equipment, systems and components. As an accreditation analyst, I was in charge of assessing if such goods fulfilled the requirements to be accredited, thereby becoming eligible to obtain BNDES funding. In this role, I answered accreditation requests from more than 700 firms.

3. *Scientific Programmer* (1989-92<sup>3</sup>)

Brazilian Farming and Cattle Breeding Research Company – EMBRAPA

Dairy Cattle Research Center – CNPGL

Quantitative Methods Sector – SMQ

Address: Rua Eugênio do Nascimento, 610, Dom Bosco

Juiz de Fora, MG, Brazil, 36088-330

Weekly journey: 40 hours

**Description:**

The SMQ was devoted to collecting, processing and analyzing zoo-technical data for research, obtained from dairy cattle cooperatives and breeder associations. My job was to develop new software to be deployed throughout the country to collect data and distribute the results of centralized statistical analyses concerning the genetic improvement of dairy cattle. At that time, Brazil did not have a nationwide computer network, which led us to design data exchange through floppy disks dispatched by post. The client applications were meant to run on IBM-PC machines and were implemented using Turbo Pascal. A UNIX machine was used to concentrate collected data and perform statistical analyses using software written in C and FORTRAN 77. I took part in the analysis, design and implementation of the whole system.

During the development of that software system, I had been supervising the activities of a trainee. I also helped organize a national meeting among breeding association representatives to standardize data in the information system since many different automated systems were running in distinct places of the country.

As eventual activities, I trained users and took part in the standardization, configuration and installation of equipment and software. I also took part in a meeting to discuss the National Information System of Farming and Cattle Breeding Research with a representative of the Interamerican Development Bank (IDB), since EMBRAPA was trying to obtain external funding to support the development of that system.

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<sup>3</sup>Contract interrupted between 03/1992 and 12/1992.

## 5.2 - Short-term Jobs and Visits

1. *Visiting researcher* (08-10/1995)  
Intelligent Network Platform Department – INDP  
Hewlett-Packard Laboratories, Bristol – HPLB  
Address: Filton Road, Stoke Giford  
Bristol, UK, BS12 6QZ  
Supervisor: Dr. Martin Sadler  
Weekly journey: 39 hours
2. *Computer programmer (intern)* (05-11/1988)  
Data Processing Center – CPD  
Federal University of Juiz de Fora – UFJF  
Address: Barão Geraldo  
Juiz de Fora, MG, Brazil, 36016-970  
Supervisor: Prof. Dr. Guilherme Horta Travassos (COPPE/RJ)  
Weekly journey: 12 hours

## 5.3 - Teaching

1. *Lecturer* and  
*Academic Coordinator of Programming Language Disciplines:*  
Information Systems Undergraduate Course (2001–2009)  
Institution: Department of Informatics  
University Estácio de Sá (UNESA)  
Address: Rua do Bispo 83, Rio Comprido  
Rio de Janeiro, RJ, Brazil, 20261-902  
Weekly Journey: 12 hours  
Type: BSc course
2. *Part-Time Lecturer:* Distributed Systems (09-12/1999)  
Institution: Department of Systems and Computing Engineering – DE-9  
Military Institute of Engineering – IME  
Address: Praça General Tiburcio 80, Urca  
Rio de Janeiro, RJ, Brazil, 22290-270  
Journey: 52 hours  
Type: MSc course
3. *Temporary Lecturer:* Compiler Construction (07-08/1999)  
Institution: Department of Computer Science – DCC  
Federal University of Juiz de Fora – UFJF  
Address: Barão Geraldo  
Juiz de Fora, MG, Brazil, 36016-970  
Journey: 60 hours  
Type: BSc course
4. *Teaching assistant* (1996-98):  
Institution: Imperial College, Department of Computing  
Address: Huxley Building, 180 Queen’s Gate  
London, UK, SW7 2BZ

Journey: 4 hours

Type: Many BSc and MSc courses

5. *Lecturer*: Logical Design of Database Systems (12/1992)

Institution: Brazilian Petroleum S.A. – PETROBRÁS

Address: Southeast Human Resources Development Center – CEN-SUD

Rua General Canabarro, 500, Tijuca

Rio de Janeiro, RJ, Brazil, 20271-201

Journey: 24 hours

Type: Short-term graduate course

## 5.4 - Research

1. *Research Fellow*: I was a fellow of the Brazilian National Research Council from April 2001 to March 2003 (CNPq 2C). The title of my research project was “Methods, Techniques and Tools for Rigorous Development of Open Distributed Systems”.

2. *Invited Speaker*: I was invited to give talks in the following scientific events:

- (a) 39th IEEE Concapan Conference (Guatemala City, GT, 22/11/2019) (keynote);
- (b) Tekhne 2018 Conference (Morelia, MX, 22/10/2018) (keynote);
- (c) 16th Brazilian Symposium on Software Quality (SBQS), Brazilian Computing Society (Rio de Janeiro, BR, 28/08/2017) (keynote);
- (d) 13th Workshop of the Brazilian Software Process Improvement Model (WAMPS), Softex Society (Rio de Janeiro, BR, 27/09/2017);
- (e) Implementation Seminar of the Technology, Quality and Certification Network on Information Technology (TSQC), Information Technology Center (CTI, Campinas, BR, 06/06/2003).
- (f) 10th Software Productivity and Quality Workshop (WQPS), Brazilian Program for Software Quality and Productivity (PBQP-SW, Brasília, BR, 08/05/2003);

I was also invited to give talks at the following places:

- (a) IEEE Denver Section, USA (Internet, 11/03/2021);
- (b) IEEE Computer Society Distinguished Lecturer Webinar (Internet, 13/08/2020): available at <https://youtu.be/9EEAfHsdSR0>
- (c) Universidad de San Carlos de Guatemala, IEEE Guatemala Section (GT, 21/11/2019);
- (d) Universidad Galileo, IEEE Guatemala Section (Guatemala City, GT, 20/11/2019);
- (e) Faculdade SENAC de Tecnologia (Rio de Janeiro, BR, 19/06/2019);
- (f) Technical University of Morelia, IEEE Centro Occidente Section (MX, 23/10/2018);
- (g) Universidad Michoacana de San Nicolás de Hidalgo, IEEE Centro Occidente Section (Morelia, MX, 22/10/2018);
- (h) University of Campinas (BR, 22/06/2018);
- (i) Brazilian-German Chamber of Commerce and Industry (AHK, Rio de Janeiro, BR, 08/03/2018);
- (j) Brazilian Association of Software Companies (ABES, São Paulo, BR, 14/09/2009);

- (k) Amazônia Bank (BASA, Belém, BR, 02/07/2007);
  - (l) Federation of Brazilian Information Technology Companies (Assespro, Aracajú, BR, 23/11/2003);
  - (m) Pontifical Catholic University of Rio de Janeiro (PUC-Rio, BR, 30/06/2003)
  - (n) Federal University of Rio de Janeiro (UFRJ, BR, 14/06/1999);
  - (o) Federal University of Juiz de Fora, (UFJF, BR, 13/11/1998 and 02/10/2000),
  - (p) University of Birmingham (UK, 14/03/1997);
3. *Guest Speaker*: I presented unpublished scientific work in the following events:
- (a) Thematic Network Workshop of the European Community 5th Research Framework (EUROLAT-IS) (Rio de Janeiro, BR, 2000);
  - (b) 2nd International Workshop on Information Systems: Correctness and Reusability (ISCORE) (Evry, FR, 1995);
  - (c) 4th Imperial College Theory and Formal Methods Session Workshop (TFM) (Bath, UK, 1998).
4. *Referee*: I have done some refereeing for:
- (a) IEEE Access (2017-2022);
  - (b) Sage Open (2021);
  - (c) IEEE Transactions on Software Engineering (2020);
  - (d) Requirements Engineering Journal (2018-9);
  - (e) Foundations of Computing and Decision Sciences (2018);
  - (f) Journal of Industrial Information Integration (2017-8);
  - (g) Journal of Computational Methods in Sciences and Engineering (2016-7);
  - (h) Journal of Systems and Software (2002-6);
  - (i) IEEE Software (2002);
  - (j) Information Processing Letters (2000-1);
  - (k) Higher-Order and Symbolic Computation Journal (1998);
  - (l) Journal of Logic and Computation (1997-8).
5. *Reviewer*: I have reviewed papers submitted to the following itinerant events, often as a Program Committee Member:
- (a) International Conference on Digital Economy (ICDEc 2020-2);
  - (b) IEEE International Requirements Engineering Conference (RE 2014-20 and 2022);
  - (c) International Conference on Software Engineering Advances (ICSEA 2016-21);
  - (d) International Workshop on Quality Requirements in Agile Projects (QuaRAP 2018);
  - (e) IEEE International Workshop on Conflicts and Synergies among Reliability, Security, and other Qualities (CSRSQ 2018);
  - (f) International Workshop on Just-in-time Requirements Engineering (JIT RE 2017);
  - (g) International Workshop on Conducting Empirical Studies in Industry (CESI 2015);



- (h) International Conference on Algebraic Methodology and Software Technology (AMAST 1998);
  - (i) International Conference on Fundamental Approaches to Software Engineering (FASE 1998 and 2001);
  - (j) International Colloquium on Automata, Languages and Programming (ICALP 1997).
6. *Ad hoc Referee*: I have also worked as an *ad hoc* referee in evaluating R&D and research projects submitted to the following Brazilian funding agencies:
- (a) Brazilian National Coordination of Graduate Level Human Resources Improvement (CAPES) (Brasília, BR, 2010-4);
  - (b) Brazilian National Research Council (CNPq) (Brasília, BR, 1999-2003);
  - (c) Foundation of Science and Technology Support of the Pernambuco State (FACEPE) (Recife, BR, 2001);
  - (d) Araucária Foundation (Curitiba, BR, 2002-9).
7. *Volunteering Activities*: I have been a member of the Institute of Electrical and Electronic Engineers (IEEE) and the IEEE Computer Society (IEEE CS) for more than 30 years:
- (a) Senior Member: Since 2012;
  - (b) Full Member: From 1999 to 2011;
  - (c) Student Member: From 1991 to 1998;
8. *Honors and Awards*:
- (a) IEEE Computer Society Distinguished Contributor: Charter Member inducted in the inaugural class of 2022;
  - (b) Best Reviewer Award, 5th International Conference on Digital Economy (ICDEc 2020). Bucharest, Romania;
  - (c) Distinguished Speaker, IEEE Computer Society, from 2018 to 2021;
  - (d) Listee in Marquis Who is Who in the World 2007, due to contributions to the Brazilian software industry.
  - (e) PhD Thesis nominated by the Department of Computing of the Imperial College, London, for the British Computer Society Distinguished Dissertation Award of 1998;

## 5.5 - Participation in Examining and Judgment Boards

1. *The Influence of Factors on Software Development Productivity According to a Model of Theoretical Structures* (12/2018)  
Institution: Institute for Graduate Studies and Research in Engineering (COPPE)  
Federal University of Rio de Janeiro (UFRJ)  
Type of participation: External examiner  
Type of work: Ph.D. thesis in Portuguese  
Candidate: Wladimir Araújo Chapetta
2. *Integral Equations via Domain-Theory* (07/2008)  
Institution: Polytechnic Institute, State University of Rio de Janeiro (UERJ)  
Type of participation: External examiner  
Type of work: Ph.D. thesis in Portuguese  
Candidate: Antônio Espósito Junior

3. *Credit Analysis using Artificial Intelligence with Validation Data from the BNDES Credit Card* (03/2008)  
Institution: Polytechnic Institute, State University of Rio de Janeiro (UERJ)  
Type of participation: External examiner  
Type of work: Ph.D. thesis in Portuguese  
Candidate: Oswaldo Luiz Humbert Fonseca
4. *Corporate Credit Risk Analysis based on Artificial Neural Networks* (07/2003)  
Institution: Informatics course, University Estácio de Sá  
Type of participation: Student supervisor and board chair  
Type of work: BSc dissertation in Portuguese  
Candidate: Inah Garritano
5. *A Software Artifact Generator Production Process* (08/2000)  
Institution: Department of Informatics, PUC-Rio  
Type of participation: Deputy external examiner  
Type of work: Ph.D. thesis in Portuguese  
Candidate: Luiz Paulo Alves França
6. *COJEM – Young Software Entrepreneur National Award* (12/1998)  
Institution: Softex Society / Fumsoft  
Type of participation: Judgment board member as BNDES representative  
Type of work: Business plans in Portuguese  
Candidates: Software companies generated within the Softex System
7. *ASSESPRO Award – Best Brazilian Software in 1993* (07/1994)  
Institution: Association of Software Companies and Data Processing Services  
Type of participation: Judgment board member as BNDES representative  
Type of work: Brazilian software produced in 1993  
Candidates: Brazilian software companies
8. *A Process Model for Scientific Software Development* (08/1993)  
Institution: Mathematics course, UFJF  
Type of participation: Student supervisor and board chair  
Type of work: BSc dissertation in Portuguese  
Candidate: Adilson Luiz Fernandes

## 5.6 - Event Organization and/or Participation

1. *22-28th and 30th IEEE International Requirements Engineering Conference* (RE 2014-20 and 2022)  
Location: Karlskrona, Sweden (2014); Ottawa, Canada (2015); Beijing, China (2016); Lisboa, Portugal (2017); Banff, Canada (2018); Seoul, South Korea (2019); Zurich, Switzerland and Online (2020); Melbourne, Australia and Online (2022).  
Type of participation: Industry Program Committee Co-chair (2016) and Industry Program Committee Member.
2. *11-17th International Conference on Software Engineering Advances* (ICSEA 2016-22)  
Location: Rome, Italy (2016); Athens, Greece (2017); Nice, France (2018); Valencia, Spain (2019); Porto, Portugal and Online (2020); Barcelona, Spain and Online (2021); Lisbon, Portugal and Online (2022).  
Type of participation: Program Committee Member

3. *5-7th International Conference on Digital Economy (ICDEc 2020-2)*  
Location: Bucharest, Romania and Online (2020); Tallinn, Estonia and Online (2021);  
Bucharest, Romania and Online (2022).  
Type of participation: Program Committee Member.
4. *International Workshop on Managing Quality in Agile and Rapid Software Development Projects (QuaASD 2019)*, formerly the *International Workshop on Quality Requirements in Agile Projects (QuaRAP 2018)*  
Location: Barcelona, Spain (2019); Banff, Canada (2018)  
Type of participation: Program Committee Member
5. *IEEE International Workshop on Data-Driven Systems Quality Assurance (DSQA 2019)*, formerly the *IEEE International Workshop on Conflicts and Synergies among Reliability, Security and other Qualities (CSRSQ 2018)*  
Location: Sofia, Bulgaria (2019); Lisbon, Portugal (2018)  
Type of participation: Program Committee Member
6. *2nd International Workshop on Just-in-time Requirements Engineering (JIT RE 2017)*  
Location: Lisbon, Portugal (2017)  
Type of participation: Program Committee Member
7. *3rd-4th International Workshop on Conducting Empirical Studies in Industry (CESI 2014-5)*  
Location: Florence, Italy (2014) and Austin, Texas, USA (2015)  
Type of participation: Member of the Organizing Committee in 2014-5 and afterward became a member of the Advisory Board
8. *36th International Conference on Software Engineering (ICSE 2014)*  
Location: Hyderabad, India  
Type of participation: Panelist and judge of the technologies submitted to the Ready-Set-Transfer Technology Transfer Panel
9. *21st IEEE International Requirements Engineering Conference (RE 2013)*  
Institution: Department of Informatics, Pontifical Catholic University of Rio de Janeiro  
Type of participation: Panelist and judge of the technologies submitted to the Ready-Set-Transfer Technology Transfer Panel
10. *Seminar on the Perspectives of Investment in Brazil (2009)*  
Institution: Brazilian National Development Bank – BNDES  
Type of participation: Discussant of the study on Information Technology
11. *International Seminar on the Promotion of Innovation and Intellectual Property in Information Technology (2008)*  
Institution: Brazilian National Institute of Intellectual Property – INPI  
Type of participation: Organizing Committee Member
12. *1st-2nd National Seminar on the Results of the Information Technology Law (2003/2006)*  
Institution: Brazilian National Information Technology Committee – CATI  
Type of participation: Moderator of the panels on Medical Equipment (2003) and Mobile Telecommunication Devices (2006)

## 6 - Publications and Datasets

### 6.1 - Publications

#### 6.1.1 - Edited Publications

1. Xavier Franch, Nazim H. Madhavji, and Carlos Henrique C. Duarte. Special Session on Conducting Empirical Studies in Industry (CESI). *Information and Software Technology* 98:146–147. June 2018.
2. Andreas Jedlitschka, Ayse Benner, Carlos Henrique C. Duarte and Smita Ghaisas. *Proceedings of the 4th International Workshop on Conducting Empirical Studies in Industry (CESI 2015)*. 36 pages. ACM Press. May 2016.
3. Xavier Franch, Nazim Madhavji and Carlos Henrique C. Duarte. *Proceedings of the 3rd International Workshop on Conducting Empirical Studies in Industry (CESI 2015)*. 56 pages. IEEE Computer Society Press. May 2015.

#### 6.1.2 - Papers in International Journals

1. Carlos Henrique C. Duarte. Software Productivity in Practice: A Systematic Mapping Study. *MDPI Software* 1(2):164–214. May 2022.
2. Carlos Henrique C. Duarte. Alternative Views Regarding Digital Transformation and Requirements Engineering in Embedded and Cyberphysical Systems. *IEEE Software* 39(3):9–10. May 2022.
3. Meira Levy, Lin Liu, Daniel Amyot, Eric Yu, Muneef Alshammari, Malak Baslyman, Elizabeth Bjarnason, Christopher Bull, Carlos Henrique C. Duarte, Eduard C Groen, Sami Jantunen, Sylwia Kopczynska, Lysanne Lessard, Ita Richardson, Jens Weber, Ziqi Wei. Requirements Engineering for Well-Being, Aging, and Health: An Overview for Practitioners. *IEEE Software* 38(3):7–12. May 2021.
4. Carlos Henrique C. Duarte. On What Goes on with Goal-Oriented Healthcare Equipment Regulations: An Exploratory Case Study on the Diagnostic Imaging Equipment Industry in Brazil. *IEEE Access* 8(1):58559–58574. March 2020.
5. Christof Ebert and Carlos Henrique C. Duarte. Digital Transformation. *IEEE Software* 35(4):16–21. July 2018.
6. Carlos Henrique C. Duarte, Andreas Jedlitschka, Ayse Benner. 4th International Workshop on Conducting Empirical Studies in Industry (CESI 2016) - Post-workshop Report. *ACM Software Engineering Notes* 42(2):15–18. April 2017.
7. Carlos Henrique C. Duarte. Productivity Paradoxes Revisited: Assessing the Relationship Between Quality Maturity Levels and Labor Productivity in Brazilian Software Companies. *Empirical Software Engineering* 22(2):818–847. April 2017.
8. Carlos Henrique C. Duarte, Xavier Franch, and Nazim Madhavji. 3rd International Workshop on Conducting Empirical Studies in Industry (CESI 2015) - Post-workshop Report. *ACM Software Engineering Notes* 40(5):26–29. September 2015.
9. Carlos Henrique C. Duarte. Cooperative Development of a Software Industry. *IEEE Software* 19(3):84–87. March 2002.

10. Carlos Henrique C. Duarte and Tom Maibaum. A Branching Time Logical System for Open Distributed Systems Development. *Electronic Notes in Theoretical Computer Science* 67. March 2002.
11. Carlos Henrique C. Duarte and Tom Maibaum. A Rely-guarantee Discipline for Open Distributed Systems Design. *Information Processing Letters* 74(1-2):55-63. May 2000.
12. Carlos Henrique C. Duarte. Proof-Theoretic Foundations for the Design on Actor Systems. *Mathematical Structures in Computer Science* 9(3):227-252. June 1999.
13. Carlos Henrique C. Duarte. Moving Software to a Global Platform. *IEEE Spectrum* 33(7):40-43. July 1996.

### 6.1.3 - Papers in Regional Journals

1. Carlos Henrique C. Duarte. 24th International Requirements Engineering Conference (RE 2016) – Industry track post-conference report. *Revista do BNDES* 46:313-323. December 2016. In Portuguese.
2. Carlos Henrique C. Duarte. A Decade of Support to the Information and Communication Technology Sector: Important Events and the Role of BNDES. *Revista do BNDES* 37:92-126. July 2012. In Portuguese.
3. Carlos Henrique C. Duarte and Carlos Eduardo C. Branco. Social and Economic Impacts of the Brazilian Policy for Information Technologies. *Revista do BNDES* 15:125-145. June 2001. In Portuguese.

### 6.1.4 - Papers in Proceedings of International Events

1. Carlos Henrique C. Duarte. How Long After Compliance Do You Benefit From Regulation? An Empirical Study on Diagnostic Imaging Equipment Requirements. In *Proc. 41th International Engineering in Medicine and Biology Conference (EMBC 2019)*. IEEE Press. Berlin, Germany. July 2019.
2. Carlos Henrique C. Duarte. The Quest for Productivity in Software Engineering: A Practitioners Systematic Literature Review. In *Proc. IEEE/ACM International Conference of Systems and Software Processes (ICSSP 2019)*. pages 145-154. IEEE Computer Society Press. Montreal, Canada. May 2019.
3. Carlos Henrique C. Duarte. The Influence of Tax Incentive and Market Regulation Requirements on IT Companies: Empirical Evidence from the Brazilian Industry. International Workshop on Requirements Engineering and Law (RELAW 2016). In: *RE 2016 Workshop Proceedings*. pages 239-248. IEEE Computer Society Press. Beijing, China. September 2016.
4. Christof Ebert and Carlos Henrique C. Duarte. Requirements Engineering for the Digital Transformation: An Industry Panel. In *Proc. 24th IEEE International Requirements Engineering Conference (RE 2016)*. pages 4-5. IEEE Computer Society. Beijing, China. September 2016.
5. Carlos Henrique C. Duarte and Tony Gorschek. Technology Transfer – Requirements Engineering Research to Industrial Practice: An Open (Ended) Debate. In *Proc. 23rd*

- International Requirements Engineering Conference* (RE 2015). pages 414–415. IEEE Computer Society Press. Ottawa, Canada. August 2015.
6. Xavier Franch, Nazim Madhavji and Carlos Henrique C. Duarte. 3rd. International Workshop on Conducting Empirical Studies in Industry (CESI 2015) - Pre-workshop report. In *Proc. 37th International Conference on Software Engineering* (ICSE 2015). pages 967–968. IEEE Computer Society Press. Florence, Italy. May 2015.
  7. Carlos Henrique C. Duarte. Patterns of Cooperative Technology Development and Transfer for Software-Engineering-in-The-Large. In *Proc. 2nd International Workshop on Software Engineering Research and Industrial Practice* (SER&IP 2015). pages 32–38. IEEE Computer Society Press. Florence, Italy. May 2015.
  8. Carlos Henrique C. Duarte. On the Relationship between Quality Assurance and Productivity in Software Companies. In *Proc. 2nd. International Workshop on Conducting Empirical Studies in Industry* (CESI 2014). pages 31–38. IEEE Computer Society Press. Hyderabad, India. May 2014.
  9. Carlos Henrique C. Duarte. Mathematical Models of Object-Based Distributed Systems. In *Formal Modeling: Actors, Open Systems and Biological Systems*. Lecture Notes in Computer Science 7000. pages 57–73. Springer Verlag. Stanford, USA. August 2011.
  10. Carlos Henrique C. Duarte. Rigorous Development of Functional Programs using Temporal Logic. In *Proc. 4th Congress of Logic Applied to Technology* (LAPTEC 2003). pages 9–18. Ed. Pleiade. São Paulo, SP, Brazil. November 2003.
  11. Carlos Henrique C. Duarte A Logico-Categorical Semantics of XML/DOM. In *Proc. 2nd International Workshop on Web Dynamics* (WEBDYN 2002). pages 46–56. Honolulu, Hawaii. May 2002.
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3. Carlos Henrique C. Duarte, Esther Pacitti, Sidney D. Silva, and Rubens N. Melo. HEROS: Um Sistema de Banco de Dados Heterogêneo Orientado a Objetos. In *Proc. VIII Brazilian Database Symposium (SBBD 1993)*. pages 383–393. Campina Grande, PB, Brazil. May 1993.

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1. Carlos Henrique C. Duarte. Proof-theoretic Foundations for the Design of Extensible Software Systems (Extended Abstract). *Bulletin of the European Association for Theoretical Computer Science* 68:245–247. June 1999.
2. Carlos Henrique C. Duarte. Acidity Yields Another Notion for Modularity in Formal Object-oriented Specifications (Extended Abstract). In Max Mühlhäuser, editor, *Special Issues in Object-Oriented Programming*, pages 517–520. Dpunkt Verlag. July 1996. Workshop Reader of the 10th European Conference on Object-Oriented Programming (ECOOP 1996), Linz, Austria.
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#### 6.1.7 - Other Publications

1. Xavier Franch, Emmanuel Letier, Carlos Henrique C. Duarte, Christof Ebert, Trevis Breaux, and Anna Perini (2016). Message from the Chairs. In *Proc. 24th IEEE International Requirements Engineering Conference (RE 2016)*. pages xii-xiii. IEEE Computer Society Press. Beijing, China. September 2016.
2. Andreas Jedlitschka, Ayse Benner, Carlos Henrique C. Duarte and Smita Ghaisas. Message from the Chairs. In *Proc. 4th International Workshop on Conducting Empirical Studies in Industry (CESI 2016)*. pages vii-viii. ACM Press. May 2016.
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5. Carlos Henrique C. Duarte. *Proof-Theoretic Foundations for the Design of Extensible Software Systems*. PhD dissertation, Department of Computing, Imperial College, London, UK. November 1998.
6. Carlos Henrique C. Duarte. *O Desenvolvimento de um Compilador Miranda usando um Método Orientado a Objetos*. MSc dissertation, Department of Informatics, Pontifical Catholic University of Rio de Janeiro, Brazil. July 1994. In Portuguese.

7. Carlos Henrique C. Duarte. *Um Método para Desenvolvimento de Sistemas Interativos*, BSc Dissertation, Mathematics Department, Federal University of Juiz de Fora, Brazil. March 1992. 143 pages.
8. Carlos Henrique C. Duarte. Apple and TK2000: Data Storage and Retrieval. *Microsistemas* 5(1):55. January 1986. In Portuguese.

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### 6.2 - Datasets

1. Carlos Henrique C. Duarte. Reviewed Literature on Software Productivity from 1987 to 2021. <https://doi.org/10.13140/RG.2.2.26436.35205/1>. Available: <http://www.chcduarte.com/ReviewedLiteratureOnSoftwareProductivity2021xlsx>. 2022.
2. Carlos Henrique C. Duarte. Reviewed Literature on Software Productivity from 1987 to 2017. <https://doi.org/10.13140/RG.2.2.18086.24647/1>. Available: <http://www.chcduarte.com/ReviewedLiteratureOnSoftwareProductivity2017xlsx>. 2019.
3. Carlos Henrique C. Duarte. Brazilian IT Company Revenues and Employment per Year from Secondary Sources from 1990 to 2016. <https://doi.org/10.13140/RG.2.2.21125.35043/2>. Available: <http://www.chcduarte.com/BrazilianITCompanyRevenuesEmploymentPerYearFromSecondarySources.xlsx>. 2017.
4. Carlos Henrique C. Duarte. Organizations with Appraised Quality Levels in Brazil from 1997 to 2016. <https://doi.org/10.13140/RG.2.2.25047.68004/1>. Available: <https://www.chcduarte.com/BrazilianOrganizationsWithAppraisalsPerYear.xlsx>. 2016.

## 7 - Miscellaneous

I had to take part in public competitions to be appointed to the jobs in the state-owned companies wherein I have worked. In the first case, for the scientific programmer job at EMBRAPA, I was approved in third place. In the second one, for the software engineer job



at BNDES, I was approved in tenth place. Both competitions had an average number of 100 competitors per place.

Many Brazilian universities offered me a place in their Computing MSc courses, using research grants from CNPq, the Brazilian National Research Council. The local newspaper of my hometown reported that I was graded as the top student in the admission processes of all these universities, although I do not have formal proof of this. They were the Federal University of Rio de Janeiro (UFRJ), the Federal University of Minas Gerais (UFMG), the State University of Campinas (Unicamp) both at the Department of Automation and Control (DAC) and the Department of Computing Science (DCC), and also the Pontifical Catholic University of Rio de Janeiro (PUC-Rio). CNPq also supported me in my Ph.D. studies.